

02/08/02
U.S. PTO

02-11-02

A

UTILITY PATENT APPLICATION TRANSMITTAL		<i>Attorney Docket No.:</i> 09792909-5360
<i>CHECK BOX, if applicable: <input type="checkbox"/> DUPLICATE (Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		<i>First Named Inventor:</i> Kazutaka HABU, et al.
		<i>Title of Invention:</i> SOLDER MATERIAL
		<i>Express Mail Label No.:</i> EL834555227US

11017 U.S. PRO 10071320 02/08/02

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>		Assistant Commissioner of Patents BOX Patent Application Washington, D.C. 20231
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<p>1. <input checked="" type="checkbox"/> This Form includes the Fee Transmittal (See Box 18) <i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27)</p> <p>3. <input checked="" type="checkbox"/> Specification (37 CFR 1.53(b)) <i>Total Pages</i> 34</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) <i>Total Sheets</i> 9 <i>(Figs. 1-12)</i></p> <p>5. <input checked="" type="checkbox"/> Declaration and Power of Attorney <i>Total Pages</i> 2 <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> c. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). </p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R in duplicate; or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies </p>		<p>ACCOMPANYING APPLICATION PARTS</p> <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) <i>Total Pages</i> 4 <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy of Assignment Papers from a prior application </p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input checked="" type="checkbox"/> IDS Request and Statement of Relevance is enclosed: <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> IDS By Applicant (PTO/SB/08A-B) b. <input checked="" type="checkbox"/> Copies of IDS Citations are enclosed c. <input type="checkbox"/> Copy IDS Citations from a prior application </p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment "A" 1.53(b) DIVISIONAL <i>Total Pages</i> 9</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (properly addressed; postage prepaid)</p> <p>15. <input checked="" type="checkbox"/> Certified copy of Japanese Priority Document: Document No. P9-348212, filed on <u>December 17, 1997</u> in parent application. Copy of cover sheets enclosed.</p> <p>16. <input type="checkbox"/> Request and Certification under 35 USC 122 <ul style="list-style-type: none"> a. <input type="checkbox"/> Non-publication Request (PTO/SB/35 or equivalent) b. <input type="checkbox"/> Publication Request (PTO/SB/35 or equivalent) </p> <p>17. <input checked="" type="checkbox"/> Other: <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Certified translation of P9-348212 (51 pages) b. <input checked="" type="checkbox"/> Express Mail Certificate (No. EL834555227US) </p>																										
<p>18. <input checked="" type="checkbox"/> CONTINUING APPLICATION. If continuing application, check appropriate box and supply the requisite information:</p> <p>a. <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: 09/066,851</p> <p>b. <input checked="" type="checkbox"/> Prior application information: Examiner Name: S. IP Group Art Unit: 1742</p> <p>c. <input checked="" type="checkbox"/> The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.</p>																												
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: center; padding: 2px;">CLAIMS</th> <th style="text-align: center; padding: 2px;">(1) FOR</th> <th style="text-align: center; padding: 2px;">(2) NUMBER FILED</th> <th style="text-align: center; padding: 2px;">(3) NUMBER EXTRA</th> <th style="text-align: center; padding: 2px;">(4) RATE</th> <th style="text-align: center; padding: 2px;">(5) BASIC FEE \$740.00</th> </tr> </thead> <tbody> <tr> <td rowspan="2" style="text-align: center; vertical-align: middle; padding: 2px;">TOTAL CLAIMS</td> <td style="text-align: center; padding: 2px;">40</td> <td style="text-align: center; padding: 2px;">20</td> <td style="text-align: center; padding: 2px;">\$ 18.00</td> <td style="text-align: center; padding: 2px;">\$360.00</td> </tr> <tr> <td style="text-align: center; padding: 2px;">INDEPENDENT CLAIMS</td> <td style="text-align: center; padding: 2px;">3</td> <td style="text-align: center; padding: 2px;">0</td> <td style="text-align: center; padding: 2px;">\$ 84.00</td> <td style="text-align: center; padding: 2px;">\$0.00</td> </tr> <tr> <td style="text-align: center; vertical-align: middle; padding: 2px;">ANY MULTIPLE DEPENDENT CLAIMS?</td> <td style="text-align: center; padding: 2px;"><input type="checkbox"/> YES <input checked="" type="checkbox"/> NO</td> <td colspan="2" style="text-align: center; padding: 2px;">\$280.00</td> <td style="text-align: center; padding: 2px;">0.00</td> </tr> <tr> <td style="text-align: center; vertical-align: middle; padding: 2px;"></td> <td style="text-align: center; padding: 2px;"></td> <td colspan="2" style="text-align: center; padding: 2px;">TOTAL FILING FEE</td> <td style="text-align: center; padding: 2px;">\$1,100.00</td> </tr> </tbody> </table>		CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$740.00	TOTAL CLAIMS	40	20	\$ 18.00	\$360.00	INDEPENDENT CLAIMS	3	0	\$ 84.00	\$0.00	ANY MULTIPLE DEPENDENT CLAIMS?	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	\$280.00		0.00			TOTAL FILING FEE		\$1,100.00	
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		TOTAL FILING FEE		\$1,100.00																								
<p>d. <input checked="" type="checkbox"/> In connection with this application, the Commissioner is hereby authorized to credit overpayments or to charge any additional fee required to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.</p> <p>e. <input checked="" type="checkbox"/> A check including the amount of \$740.00 to cover the filing fee is enclosed.</p> <p>f. <input checked="" type="checkbox"/> A check including the amount of \$360.00 to cover the claims fees is enclosed.</p>																												

<p>19. <input checked="" type="checkbox"/> CORRESPONDENCE ADDRESS:</p> <p>SONNENSCHEIN NATH & ROSENTHAL P.O. Box 061080 Wacker Drive Station, Sears Tower Chicago, IL, USA 60606-1080</p>		<p>DATE: <u>February 8, 2002</u></p> <p>SIGNATURE </p> <p>David R. Metzger (Reg. No. 32,919) Phn: (312) 876-8000 Fax: (312) 876-2578 Customer Number: 026263</p>
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Date of Deposit: February 8, 2002

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to BOX Patent Application, Assistant Commissioner of Patent, Washington, D.C. 20231.

Divisional Application of Kazutaka HABU, et al. entitled "SOLDER MATERIAL" (Consisting of 34 pages)

Utility Patent Application Transmittal Letter (1 page in duplicate)

Drawings filed in parent application: 9 sheets, Figures 1-12

Copy of signed Declaration and Power of Attorney (2 pages)

Copy of signed and recorded Assignment Papers (Recordation Cover Sheets and Assignment - 4 pages)

Copy of cover sheet of certified copy of Japanese Priority Document P9-348212 filed on December 17, 1997 (1 page)

IDS Request and Statement of Relevance (2 pages); PTO/SB/08A-B (2 pages); Copies of Information Disclosure Statements, and copies of IDS cites (JP 62-230493; JP 8-206874; JP 10-6075; WO 92/17617; EP 0 612; 578A1; EP 0 847 828A1; EP 0 710 521A1; EP 0 639 426A1; JP 09206983; Non-Patent Literature Documents: Seiki Sakuyama, et al.; Tetsuya Nakatsuka, et al.

Preliminary Amendment "A" 1.53(b) Divisional (9 pages)

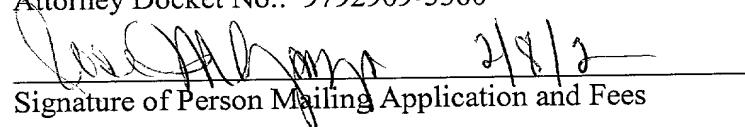
Certified Translation of P9-348212 (51 pages)

Check #1153833 in the amount of \$740.00 for Filing Fee

Check #1153832 in the amount of \$360.00 for Extra Dependent Claim Fee

Return Receipt Postcard

Attorney Docket No.: 9792909-5360


Signature of Person Mailing Application and Fees